



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

August 30, 2005

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.
1940 DUKE STREET
ALEXANDRIA, VA 22314
US

Dear Sir/Madam,

Your refund request for 10521790 in the amount of \$400.00 has been denied .

These funds were refunded to the credit card that they were charged on 6/13/05.

Sincerely,

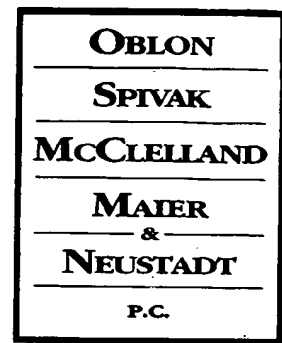
A handwritten signature in cursive script, reading "Rita White", is written over the word "Sincerely".

RITA WHITE
PCT - National
703 308-9140 x231

PATENT AND TRADEMARK
DIVISION

2005 JUL -1 PM 1:20

June 15, 2005



ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

Attn: Frank Lebron
Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of June, 2005. See the highlighted charge on **serial number 10/521,790 in the amount of \$400.00 on fee code #1642.**

When this application was filed on January 21, 2005, a **credit card payment form for American Express in the amount of \$1,330.00 was included with that filing. The search fee in the amount of \$500.00 was part of that payment. Therefore, there should not be a charge on our account for additional search fees.**

Please review this application and kindly refund \$400.00 to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel
Accounting Department

Enclosures



**United States
Patent and
Trademark Office**

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Deposit Account Statement

Requested Statement Month: June 2005
 Deposit Account Number: 150030
 Name: NORMAN F. OBLON
 Attention:
 Address: 1940 DUKE STREET
 City: ALEXANDRIA
 State: VA
 Zip: 22314
 Country: UNITED STATES OF AMERICA

| DATE | SEQ | POSTING REF TXT | ATTORNEY DOCKET NBR | FEE CODE | AMT | BAL |
|-------|-----|--------------------|---------------------------|-------------|-------------|-------------|
| 06/01 | 4 | 10765849 | 248347US3 | 1806 | \$180.00 | \$19,767.71 |
| 06/01 | 7 | 11087694 | 267675US2CONT | 1201 | \$200.00 | \$19,567.71 |
| 06/01 | 8 | 11087694 | 267675US2CONT | 1202 | \$300.00 | \$19,267.71 |
| 06/01 | 31 | 10221677 | 228202US0X PCT | 1201 | -\$200.00 | \$19,467.71 |
| 06/01 | 33 | 11125174 | 270416US2X | 1201 | \$200.00 | \$19,267.71 |
| 06/01 | 42 | 11124290 | 270703US8X CONT | 1202 | -\$50.00 | \$19,317.71 |
| 06/02 | 1 | 6701301 | 268634AU/TEP/FF | 8013 | \$25.00 | \$19,292.71 |
| 06/02 | 2 | 09660304 | SONY-U0136 | 1811 | \$100.00 | \$19,192.71 |
| 06/02 | 9 | 09709045 | LEN102 | 2251 | -\$60.00 | \$19,252.71 |
| 06/02 | 36 | 6701301 | 268634AU/TEP/FF | 8013 | \$25.00 | \$19,227.71 |
| 06/02 | 149 | 60574884 | 253813US/KQU | 8007 | \$60.00 | \$19,167.71 |
| 06/02 | 186 | 11140275 | 273234US0X CONT | 1203 | \$360.00 | \$18,807.71 |
| 06/03 | 1 | 10514728 | 259655US3PCT | 1463 | \$200.00 | \$18,607.71 |
| 06/03 | 2 | 10207167 | 226339US2SRD | 1806 | \$180.00 | \$18,427.71 |
| 06/03 | 3 | 10201315 | 225893US2SCO | 1201 | \$200.00 | \$18,227.71 |
| 06/03 | 5 | 10428109 | 236842US-6 DIV | 1811 | \$100.00 | \$18,127.71 |
| 06/03 | 152 | 10536302 | 272908USOPCT | 1631 | -\$300.00 | \$18,427.71 |
| 06/03 | 153 | 10536302 | 272908USOPCT | 1642 | -\$400.00 | \$18,827.71 |
| 06/03 | 154 | 10536302 | 272908USOPCT | 1633 | -\$200.00 | \$19,027.71 |
| 06/03 | 155 | 10536302 | 272908USOPCT | 1615 | -\$1,750.00 | \$20,777.71 |
| 06/03 | 156 | 10536302 | 272908USOPCT | 1614 | -\$800.00 | \$21,577.71 |
| 06/03 | 157 | 10536302 | 272908USOPCT | 1616 | -\$360.00 | \$21,937.71 |
| 06/06 | 1 | 10606750 | 239522US0 | 1251 | \$120.00 | \$21,817.71 |
| 06/06 | 2 | 09655352 | 197161US2S-T | 1202 | \$90.00 | \$21,727.71 |
| 06/07 | 1 | 10204444 | 227706USXPCT | 1251 | \$120.00 | \$21,607.71 |
| 06/07 | 509 | 60598539 | 256922US/HQU | 8007 | \$20.00 | \$21,587.71 |
| 06/07 | 515 | 60600357 | 257332US/KQU | 8007 | \$20.00 | \$21,567.71 |

| | | | | | |
|-----------|----------|-----------------|------|-----------|-------------|
| 06/07 518 | 60601129 | 257462US/KQU | 8007 | \$20.00 | \$21,547.71 |
| 06/07 519 | 60598051 | 256863US/KQU | 8007 | \$20.00 | \$21,527.71 |
| 06/07 524 | 60586720 | 255817US/KQU | 8007 | \$20.00 | \$21,507.71 |
| 06/07 527 | 60591865 | 256517US/KQU | 8007 | \$20.00 | \$21,487.71 |
| 06/07 529 | 10911543 | 254530US/KQU | 8007 | \$20.00 | \$21,467.71 |
| 06/07 530 | 60598052 | 256890US/KQU | 8007 | \$20.00 | \$21,447.71 |
| 06/07 571 | 60614394 | 259734US/KQU | 8007 | \$20.00 | \$21,427.71 |
| 06/08 1 | 10515062 | 261979US2PCT | 1615 | \$90.00 | \$21,337.71 |
| 06/08 1 | 09585352 | 192412US2 | 1251 | \$110.00 | \$21,227.71 |
| 06/08 20 | 60557396 | 251326US/KQU | 8007 | \$60.00 | \$21,167.71 |
| 06/08 27 | 10879584 | 255175US3 | 1251 | -\$120.00 | \$21,287.71 |
| 06/08 28 | 10069983 | 220759US0PCT | 1251 | -\$120.00 | \$21,407.71 |
| 06/08 29 | 10169081 | 223018US3XPC | 2202 | -\$18.00 | \$21,425.71 |
| 06/08 35 | 10114056 | 221058US0 | 1806 | -\$180.00 | \$21,605.71 |
| 06/08 36 | 09827097 | 205447US2 | 1252 | -\$330.00 | \$21,935.71 |
| 06/08 41 | 10058319 | 501.35286614 | 1053 | \$130.00 | \$21,805.71 |
| 06/08 54 | 10077794 | 219764US0CON | 1202 | -\$100.00 | \$21,905.71 |
| 06/08 196 | 11144833 | 273089US0X CONT | 1201 | \$200.00 | \$21,705.71 |
| 06/09 22 | 10507696 | 259259US0PCT | 1614 | \$344.00 | \$21,361.71 |
| 06/09 36 | 10282039 | 229483US-2 | 1806 | -\$180.00 | \$21,541.71 |
| 06/09 37 | 10350017 | 220884US2RE | 1806 | -\$180.00 | \$21,721.71 |
| 06/09 38 | 10350017 | 220884US2RE | 1806 | -\$180.00 | \$21,901.71 |
| 06/09 39 | 10712009 | 244215US41CONT | 1202 | -\$150.00 | \$22,051.71 |
| 06/09 40 | 10363317 | 234036USOPCT | 1251 | -\$120.00 | \$22,171.71 |
| 06/09 112 | 11008150 | 262111US8 | 1463 | \$70.00 | \$22,101.71 |
| 06/09 176 | 11045087 | 264919US0XCONT | 1251 | \$120.00 | \$21,981.71 |
| 06/09 197 | 60587856 | 255788US/KQU | 8007 | \$20.00 | \$21,961.71 |
| 06/09 201 | 10890144 | 255859US/KQU | 8007 | \$20.00 | \$21,941.71 |
| 06/09 234 | 60433771 | 246746US/KQU | 8007 | \$40.00 | \$21,901.71 |
| 06/09 236 | 60418184 | 247167US/KQU | 8007 | \$40.00 | \$21,861.71 |
| 06/10 1 | 10832284 | 252372US2S DIV | 1251 | -\$120.00 | \$21,981.71 |
| 06/10 2 | 09867540 | 209249US2SRD | 1806 | \$180.00 | \$21,801.71 |
| 06/10 2 | 10501934 | 256082US2XPCT | 1462 | \$400.00 | \$21,401.71 |
| 06/10 2 | 10747451 | 1840-0103006CNT | 1201 | \$200.00 | \$21,201.71 |
| 06/10 30 | 10127571 | 222408US0 | 1806 | -\$180.00 | \$21,381.71 |
| 06/10 31 | 10404083 | 236186US0CIP | 1401 | -\$500.00 | \$21,881.71 |
| 06/13 3 | 09889141 | 211141US2PCT | 1806 | -\$180.00 | \$22,061.71 |
| 06/13 5 | 11119911 | 271769US0 | 1201 | \$150.00 | \$21,911.71 |
| 06/13 5 | 10513670 | 261279US3PCT | 1615 | \$108.00 | \$21,803.71 |
| 06/13 12 | 11016855 | 263674US0 | 1053 | \$130.00 | \$21,673.71 |
| 06/13 29 | 10521790 | 264390US0PCT | 1642 | \$400.00 | \$21,273.71 |
| 06/13 32 | 10521793 | 26479US0PCT | 1642 | \$400.00 | \$20,873.71 |
| 06/13 35 | 10522195 | 264982US0PCT | 1642 | \$400.00 | \$20,473.71 |
| 06/13 90 | 60618190 | 260373US/KQU | 8007 | \$20.00 | \$20,453.71 |
| 06/13 120 | 10527323 | 267317US3PCT | 1617 | \$130.00 | \$20,323.71 |
| 06/13 121 | 10527321 | 367319US3PCT | 1617 | \$130.00 | \$20,193.71 |
| 06/14 1 | 10500147 | 255063US6PCT | 1614 | \$86.00 | \$20,107.71 |
| 06/14 1 | 11072255 | 265354US | 1806 | \$180.00 | \$19,927.71 |

Due Date 01-23-05

OSMM&N File No. 264390US0PCT

By NFO/rkm/FF

Serial No. New U.S. PCT Application based on PCT/EP03/07989

In the matter of the Application of Peter BASSLER et al.

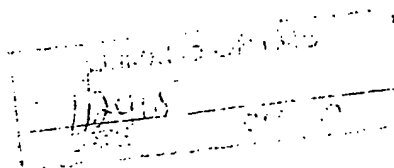
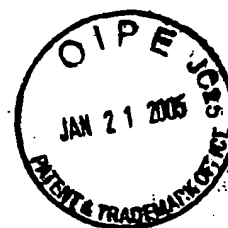
For METHOD FOR THE CONTINUOUS INTERMEDIATE SEPARATION OF AN
OXIRANE PRODUCED BY THE OXIRANE SYNTHESIS WITH NO
COUPLING PRODUCT BY MEANS OF A PARTITION-WALL COLUMN

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 25 pgs. Specification 10 Claims (English Translation) pgs. Sequence Listing
- Application Data Sheet
- Notice of Priority
- Dep. Acct. Order Form
- Credit Card Payment Form for \$1,330.00
- Drawings (3 sheets)
- PCT Transmittal Letter
- Preliminary Amendment
- PCT/IB/304
- Information Disclosure Statement
- Cited References (14)
- International Search Report
- PTO-1449
- Statement of Relevancy

SERIAL NO. _____

DATE RECEIVED _____



**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

264390US0PCT

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/EP03/07989INTERNATIONAL FILING DATE
22 July 2003PRIORITY DATE CLAIMED
23 July 2002

TITLE OF INVENTION

**PROCESS FOR THE CONTINUOUSLY OPERATED INTERMEDIATE ISOLATION OF THE OXIRANE FORMED IN THE
COPRODUCT-FREE OXIRANE SYNTHESIS BY MEANS OF A DIVIDING WALL COLUMN**

APPLICANT(S) FOR DO/EO/US

BASSLER Peter et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☒ Other items or information:

Application Data Sheet/Notice of Priority/Drawings (3 sheets)
Statement of Relevancy/Cited References (14)/PTO-1449/PCT/IB/304

| | | | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------------------------------------------------------------------|------------|-------------------------------------------------|------------|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5) | | INTERNATIONAL APPLICATION NO. PCT/EP03/07989 | | ATTORNEY'S DOCKET NUMBER 264390US0PCT | |
| 24. The following fees are submitted: | | | | Applicant use | Office use |
| <input checked="" type="checkbox"/> a) Basic national fee \$300.00 | | | | \$ 300.00 | |
| <input checked="" type="checkbox"/> b) Examination fee \$200.00 | | | | \$ 200.00 | |
| <input checked="" type="checkbox"/> c) Search fee \$500.00 | | | | \$ 500.00 | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | \$ 1,000.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | | RATE | |
| - 100 = | /50 = | | | x \$250.00 | \$ 0.00 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ 130.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 20 - 20 = | 0 | x \$50.00 | \$ 0.00 | |
| Independent claims | 4 - 3 = | 1 | x \$200.00 | \$ 200.00 | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 | | | | \$ 0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,330.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ 0.00 | |
| SUBTOTAL = | | | | \$ 1,330.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ 0.00 | |
| TOTAL NATIONAL FEE = | | | | \$ 1,330.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | \$ 0.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 1,330.00 | |
| Amount to be refunded: | | | | | \$ |
| Amount to be charged: | | | | | \$ |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____ c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: 22850 | | | | | |
| | | | | SIGNATURE | |
| | | | | Norman F. Oblon | |
| | | | | NAME | |
| | | | | 24,618 | |
| | | | | REGISTRATION NUMBER | |

United States Patent & Trademark Office
Credit Card Payment Form

| Credit Card Information | |
|-------------------------------------------------------------------------------|------------------------|
| Credit Card Type: <input checked="" type="checkbox"/> American Express | |
| Credit Card Number: [REDACTED] | |
| Credit Card Expiration Date: December 31, 2005 | |
| Name as it Appears on Credit Card: Marshall, Ronikka K. | |
| Payment Amount: \$(US Dollars): 1,330.00 | |
| Signature: | Date: January 21, 2005 |
| Credit Card Billing Address | |
| Street Address 1: Oblon, Spivak, McClelland, Maier & Neustadt, P.C. | |
| Street Address 2: 1940 Duke Street | |
| City: Alexandria | |
| State: Virginia | Zip/Postal Code: 22314 |
| Country: U.S.A. | |
| Daytime Phone #: (703) 413-3000 Accounting Dept. | Fax #: (703) 413-2220 |
| Request and Payment Information | |
| Description of Request and Payment Information: new us pct application | |
| Application No.: | |
| Patent/Registration No.: | |
| Docket No.: 264390US | |

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

Form #: 27935